N TO UNITED STATES PATENT AND TRADEMARY STICE ING COMPLETION UNDER 37 CFR 52, 53, 200. 35

Attention: Application Branch In re Application of: John Gal Date: January 10, 2002 Serial No.: Attorney Docket No.: AVX-122 Filed: November 8, 2001 Art Unit: 2812 'Confirmation No.: 9869 Title: VIA COMPONENTS FOR INTEGRATED Our Account No.: 04-1403 PASSIVE COMPONENTS COPY OF PAPERS Commissioner for Patents U.S. Patent and Trademark Office Washington, DC 20231 Sir: The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85: Rule 53 signed Declaration enclosed. [x] This is a Rule 47 filing per attached Petition. [] Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of [] specification/claims originally filed to secure above filing date. Specification originally filed in non-English language; hence verified translation attached of: [] Abstract [] __ pages of Specification (only spec. & claims) [] Drawing Figs. sheet(s) of formal drawings enclosed: size: [] A4 [] 14" [] 81/2 by 11 []. Attached is an assignment to ____AVX CORPORATION [x]Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s). [] , filed in in U.S. Application, Serial No. · Certified copy (copies) [] attached; [] already filed on _, filed Attached: ___ Verified Statement(s) establishing "small entity" status under Rules 9 & 27. [] Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85. [x] Attached: Assignment Recordation Cover; and Return receipt postcard. [x] Preliminary Amendment: [] THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED PER MPEP § 506 AND § 607 740.00 108.00 Total Effective Claims 26 - 20 = 84.00 Independent Claims 4 :- 3 = <u>1</u> x \$84.00 280.00 If any proper multiple dependent claim (ignore improper) is present, add \$280.00 1.212.00 Filing Fee Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of _____2/28/2002 petition is hereby made for an extension to cover the date this response is filed for which the requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440). 130.00 If this is the first time either signed Declaration filed or filing fee paid, add Surcharge (\$130) 1.342.00 0.00 If "small entity" status box above is X'd, enter half (1/2) of subtotal & subtract .00 0.00 If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00). . . 40.00 If "assignment" box is X'd, add recording fee (\$40.00)..... If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h). 0.00 1,382.00 TOTAL FEE ENCLOSED The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case. **DORITY & MANNING** ADDRESS: ATTORNEYS AT LAW, P.A. Post Office Box 1449 Reg. No. 46,542 Charles R. Ducker, Jr. Greenville, South Carolina 29602 Phone: 864-271-1592 Signature: Facsimile: 864-233-7342 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231, on January 10, 2002. Denise Bulkeley (Typed of printed name of person mailing paper or fee)





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE

10/006,777 11/08/2001 John Galvagni

AVX-122

CONFIRMATION NO. 9869

FORMALITIES LETTER

OC000000007240123

Charles R. Ducker, Jr. Dority & Manning, Attorneys at Law, P.A. P.O. Box 1449 Greenville, SC 29602



Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$472.
 - \$108 for 6 total claims over 20.
 - \$84 for 1 independent claims over 3.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the
- above Application Number and Filing Date, is required. To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1342.

A copy of this notice MUST be returned with the reply.

Customer Service Center

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE